

Notes on correlation functions: (ch 5)

1. Summary:

- Static correlation fn: correlation between events at equal times

ex: density correlations \rightarrow determine energy & thermodynamic potentials

- Dynamic: events at different times

ex: response functions \rightarrow describe excitations of the system

\rightarrow Only 1 & 2 body correlations here

\rightarrow Note: Linear response because perturbations (light, scattering of particles) are very weak on the scale of microscopic forces

2. Expectation Values & Correlation fns:

$$EV: \langle \hat{O} \rangle = \sum_{\alpha} w_{\alpha} \langle \alpha | \hat{O} | \alpha \rangle \quad \hat{O} \rightarrow \text{operator}$$

$$T=0 \quad \alpha = GS \quad w_{\alpha} = \delta_{\alpha,0}$$

$\alpha \rightarrow$ MB WF.

Thermodynamic Eq:

$$\langle \hat{O} \rangle = \frac{1}{Z} \text{Tr} \{ e^{-\beta(\hat{H} - \mu \hat{N})} \hat{O} \}$$

$w_{\alpha} \rightarrow$ probability of α in the total α distribution.

$$Z = \text{Tr} \left\{ e^{-\beta(\hat{H} - \mu \hat{N})} \right\} = e^{-\beta \Omega}$$

\downarrow
over all states

$$E_{\alpha} = \langle \alpha | \hat{H} | \alpha \rangle, \quad N_{\alpha} = \langle \alpha | \hat{N} | \alpha \rangle \quad \text{so}$$

With $w_\alpha = \frac{e^{-\beta(E_\alpha - \mu N_\alpha)}}{Z}$ $Z = \sum_\alpha e^{-\beta(E_\alpha - \mu N_\alpha)}$

→ Notation: (1) → $(\overbrace{x_1}^{x_1}, \sigma_1, t_1)$

→ X correlated: $\langle \hat{A}(i) \hat{B}(j) \rangle \neq \langle \hat{A}(i) \rangle \langle \hat{B}(j) \rangle$

$$C_{AB}(1,2) = \sum_\alpha w_\alpha \langle \alpha | A$$

→ Notation: (Heisenberg operator) $\hat{O}_H(1) = e^{i\hat{H}t_1} \hat{O}(x_1) e^{-i\hat{H}t_1}$
used in dynamic correlations

So:

$$C_{AB}(1,2) = \sum_\alpha \langle \alpha | \hat{A}_H(1) \hat{B}_H(2) | \alpha \rangle = \langle \hat{A}_H(1) \hat{B}_H(2) \rangle$$

→ Note that $\langle \hat{A}_H(1) \hat{B}_H(2) \rangle - \langle \hat{A}_H(1) \rangle \langle \hat{B}_H(2) \rangle = \text{fluctuations}$,

often
is subtracted

→ Notation: omit $-H$ for static correlation fns.

5.2 Static 1e⁻ properties: (x=r, σ)

Density: $n(x) = \langle \hat{n}(x) \rangle = N \sum_{\alpha} W_{\alpha} \int dx_2 \dots dx_N |\Psi_{\alpha}(x, x_2 \dots x_N)|^2$

→ integrate out all other e⁻ positions & spins

Single Slater Determinant $n(x) = \sum_i |\psi_i(x)|^2$

→ Density needs to be well described in our approximation

→ show figure

1 body Density Matrix (spin resolved)

$\rho(x, x')$: Correlation of the MB wave function 1 particle correlation in the MB wave function at 2 different points

of spin & space (x, x' → r, σ → r', σ')

$$\rho(r, r') = N \sum_{\alpha} W_{\alpha} \int dx_2 \dots dx_N \Psi_{\alpha}^*(x, x_2 \dots x_N) \Psi_{\alpha}(x', x_2 \dots x_N)$$

$$\rho(x, x') = n(x)$$

The eigenfunctions of $\rho(x, x')$ are the "Natural Orbitals" and the eigenvalues n_i are the occupation numbers:

$$\sum_{\sigma'} \int d^3r' \rho(x, x') \psi_i(x') = n_i \underbrace{\psi_i(x)}_{No}$$

n_i and ψ_i are the MO generalization of the KS orbitals and occupations.

Note: in a SD wave function, $T=0$, for independent particles $n_i = 0$ or 1 (KS for example)

→ For a Full MB WF $0 \leq n_i \leq 1 \Rightarrow$ interactions mix the independent particle states and result in non integer occupation # So $\rho(x, x')$ is not diagonal.

→ Fermions: always localized ($\rho(x, x')$ for $|r - r'| \rightarrow \infty$) however the decay depends on the type of systems

insulator $\rightarrow e^{-\delta r}$

metal $\rightarrow (\delta r)^{-3}$ (3D)

→ Bosons: off-diagonal long range order ($\delta r \rightarrow \infty$ $\rho(r, r') \rightarrow \text{const}$)

Momentum distribution

$P(\vec{k})$ → probability of finding a particle with momentum \vec{k}

→ integrate over all momenta of the 3N-dimensional momentum distribution.

$$P(\vec{k}) = (2\pi)^{-3N} \sum_{\alpha} W_{\alpha} \int dx_1 \dots dx_N \int dk_1 \dots dk_N \Psi_{\alpha}(x_1, \dots, x_N) e^{-i \sum_{j=1}^N \vec{k} \cdot \vec{r}_j} \quad | \quad \text{all vectors}$$

$$P(\vec{k}) = \frac{1}{(2\pi)^{3N}} \int dr \int dr' e^{-i \vec{k} \cdot \vec{r}'} \rho(r, r-r') \quad \rightarrow \text{FT of the spin integrated 1B density matrix.}$$

Normalized: $\int p(k) dk = 1$ (it's a probability distribution)

$$\langle \hat{T} \rangle = \frac{N}{Z} \int d\vec{k} k^2 p(k)$$

In a real system (correlated) $0 \leq p(k) \leq 1$ even at $T=0$

in The HEG (Non interacting) The eigenstates are PW ($\psi_k = \frac{1}{\sqrt{V}} e^{i\vec{k}\vec{r}}$)

and $p_k = 1$ $k \leq k_F$; $p_k = 0$ otherwise.

→ Interactions open a gap in the distribution (see fig 5.2)

5.2) Correlations decrease the total energy (V of but $k \uparrow$)

mixing in higher momentum states) ⇒ discontinuity at k_F

decreases: $Z =$ ~~size~~ magnitude of the discontinuity $\sim \Delta E(\omega)$ self energy.

↓
QP strength: $Z \rightarrow 1$ for $U \rightarrow 0$ (at $r_s=1$, weak correlation)

Z decreases with increasing correlation.

Connection To experiment: Compton scattering

measures spectra of X-ray scattering (high Energy)

$$J(q) = \frac{3}{8\pi k_F^3} \int_0^q dk k p(k)$$

⇒ invert experiment data and obtain $p(k)$

exp ↓

in The impulse approximation (excited $e = free e^-$) see fig 11.7 for Na

→ Two particle correlations (still static)

$n(x, x')$ → Prob of finding 2 particles at x & x' (spin & position)

$$n(x, x') = \sum_{i \neq j} \langle \delta(x-x_i) \delta(x'-x_j) \rangle = N(N-1) \int d^3x_3 \dots d^3x_N \left| \frac{N!}{0! 3! N!} \right|^2$$

$$g(x, x') = \frac{n(x, x')}{n(x) n(x')}$$

See fig 5.3

$g(x, x') \rightarrow 1$ for $|r-r'| \rightarrow \infty$, generally depends on the spin of e^- . It's unity for uncorrelated particles

$$g_{\sigma, \sigma'}(r) = \frac{\Omega}{N(N-1)} \int_{\Omega} d^3r' d^3r'' n(r', r'', \sigma, \sigma') \delta(r'-r''-r)$$

→ Probability of finding a particle of spin σ at distance r of particle of spin σ' .

$$g(\vec{r})_{\text{total}} = \sum_{\sigma, \sigma'} g_{\sigma, \sigma'}(r) \quad \text{See fig 5.3}$$

e^- interaction energy/volume $\langle \hat{V}_{ee} \rangle = \frac{N(N-1)}{2\Omega} \int_{\Omega} dr \frac{(g(r)-1)}{r}$

The (-1) in the eq. comes from cancelling the α of the e^-e^- interaction that is cancelled with the α of the e^- ion interaction (Total system is neutral)

Structure factor: connected to the Fourier ~~the~~ Transform of the pair correlation ρ . Measured by X-ray or neutron scattering

$\hat{\rho}_k^\sigma$ operator: The average value is the F.T of the spin resolved density:

$$\hat{\rho}_k^\sigma = \frac{1}{\sqrt{N}} \sum_{i=1}^N e^{i\mathbf{k}\cdot\mathbf{r}_i} \int_{\sigma, \sigma_i}$$

→ static structure factor:

$$S_{\mathbf{k}\mathbf{k}'}^{\sigma\sigma'} = \langle \hat{\rho}_{\mathbf{k}}^\sigma \hat{\rho}_{\mathbf{k}'}^{\sigma'} \rangle = \frac{1}{N} \int d\mathbf{r} d\mathbf{r}' n(\mathbf{x}, \mathbf{x}') e^{i(\mathbf{k}\mathbf{r} - \mathbf{k}'\mathbf{r}')} + \frac{S_{\sigma\sigma'}}{N} \int d\mathbf{r} n(\mathbf{x}) e^{i(\mathbf{k}\mathbf{r})}$$

→ $S_{\mathbf{k}\mathbf{k}'}$ has the periodicity & symmetry of the crystal.

with translational invariance $S_{\mathbf{k}\mathbf{k}'} = 0$ for $\mathbf{k} \neq \mathbf{k}'$

Diagonal part only, Total $S_{\mathbf{k}} = \sum_{\sigma\sigma'} S_{\mathbf{k}, \mathbf{k}}^{\sigma, \sigma'}$

→ Non interacting HEG:

$$S_k = \begin{cases} N/2 & k=0 \\ \frac{k}{4k_F} \left(3 - \left(\frac{k}{2k_F} \right)^2 \right) & 0 \leq k \leq 2k_F \\ 1 & 2k_F \ll k \end{cases}$$

See fig 5.3 → S_k for N.I e^- should be quadratic for $k \rightarrow 0$ (right) but it is linear.

Why? $S_k \leq \frac{k^2}{2\omega_p} \left(1 - \frac{1}{\epsilon_k} \right)^{1/2}$ S_k is bounded by the dielectric fn.

measures amplitude of density fluctuations

dielectric function.

→ Why is this? In a charged system the long-range Coulomb interaction suppresses density fluctuations much more strongly than in a free Fermi gas.

Long wave length (small k) fluctuations in ρ costs a lot of energy \Rightarrow system responds by screening these fluctuations. Their response is controlled by the dielectric fn ϵ_k .

So dielectric screening forces $S(k)$ to be smaller than what is predicted by the Pauli principle alone.

So $S_k \rightarrow 0$ quadratically (or faster) and not linearly.

Crystalline solid \rightarrow long range order in S_k (Bragg peaks) See fig 5.4

5.4 Dynamic correlation Functions

→ Notation: $(x_1, t_1) \rightarrow (x_2, t_2) \rightarrow (1)$

1- Dynamic correlation in Time:

$$C_{AB}(1, 2) = \langle \hat{A}_H(1) \hat{B}_H(2) \rangle \quad \text{Note: } \hat{O}_H(t) \rightarrow \text{Heisenberg operator}$$

(Wave function is stationary and Time dependence is carried by operators)

\hat{H} is static (no phonons) for now.

Then Time dependence only as $t-t_0$

$$\hat{O}_H(t) = e^{i\hat{H}(t-t_0)} \hat{O} e^{-i\hat{H}(t-t_0)} \quad t_0 \rightarrow \text{reference time, we can set } t_0 \text{ to zero.}$$

The correlation function can be expanded in Terms of

$|\alpha\rangle \rightarrow$ eigenstates of H

$$C_{AB}(x_1, x_2, t_1 - t_2) = \sum_{\alpha} w_{\alpha} e^{iE_{\alpha}(t_1 - t_2)} \langle \alpha | \hat{A}(x_1) e^{-i\hat{H}(t_1 - t_2)} \hat{B}(x_2) | \alpha \rangle$$

insert $\mathbb{1} = \sum_{\lambda} |\lambda\rangle \langle \lambda|$
 complete set of H eigenstates
 Time independent operator.

$$C_{AB}(x_1, x_2, t_1 - t_2) = \sum_{\alpha, \lambda} w_{\alpha} A_{\alpha\lambda}(x_1) B_{\lambda\alpha}(x_2) e^{i(E_{\alpha} - E_{\lambda})(t_1 - t_2)}$$

$$A_{\alpha\lambda} = \langle \alpha | \hat{A}(x_1) | \lambda \rangle$$

→ One subtract the uncorrelated term $C_{AB}^0(x_1, x_2) = \langle \hat{A}(1) \rangle \langle \hat{B}(2) \rangle$

$$= \sum_{\alpha} W_{\alpha} A_{\alpha}(x_1) \sum_{\lambda} W_{\lambda} B_{\lambda}(x_2) \text{ (No Time dependence)}$$

For states with fixed particle number this term is non zero only if \hat{A} and \hat{B} conserve particle number.

Note: $\tilde{C}_{AB}(1,2) = -C_{BA}(2,1)$ (anti-commutation of Fermionic operators.)
~ , drop subscript AB or BA

Definition:

- Retarded Correlation Fun:

$$C^R(1,2) = \Theta(t_1 - t_2) \langle [\hat{A}(1), \hat{B}(2)] \rangle = \Theta(t_1 - t_2) (C(1,2) - \tilde{C}(1,2))$$

- Advanced:

$$C^A(1,2) = \Theta(t_2 - t_1) \langle [\hat{A}(1), \hat{B}(2)] \rangle = \Theta(t_2 - t_1) (\tilde{C}(1,2) - C(1,2))$$

- Time ordered:

Time ordering of operators

$$C^T(1,2) = \langle T[\hat{A}(1), \hat{B}(2)] \rangle = \Theta(t_1 - t_2) C(1,2) + \Theta(t_2 - t_1) \tilde{C}(1,2)$$
$$= C^R(1,2) + \tilde{C}(1,2) = C^A(1,2) + C(1,2)$$

Dynamic Correlation in frequency space:

→ fluctuation spectrum

$$C(x_1, x_2, \omega) = \int_{-\infty}^{\infty} dt \sum_{\alpha, \lambda} W_{\alpha} A_{\alpha, \lambda}(x_1) B_{\lambda, \alpha}(x_2) e^{i(E_{\alpha} - E_{\lambda})t} e^{i\omega t}$$

$$= 2\pi \sum_{\alpha, \lambda} W_{\alpha} A_{\alpha, \lambda}(x_1) B_{\lambda, \alpha}(x_2) \delta(E_{\alpha} - E_{\lambda} + \omega)$$

$$\tilde{C}(x_1, x_2, \omega) = -2\pi \sum_{\alpha, \lambda} W_{\alpha} B_{\alpha, \lambda}(x_2) A_{\lambda, \alpha}(x_1) \delta(E_{\lambda} - E_{\alpha} + \omega)$$

for a finite system the fluctuation spectrum is a set of δ -funcs.
in the thermodynamic limit \rightarrow continuum, weighted density of transitions

→ Special Functions

Let's define the special function A :

$$2\pi A(x_1, x_2, \omega) = [C(x_1, x_2, \omega) - \tilde{C}(x_1, x_2, \omega)]$$

in thermal equilibrium where $\tilde{C}(x_1, x_2, \omega) = -e^{-\beta(\omega - \mu)} C(x_1, x_2, \omega)$

we have:

$$2\pi A(x_1, x_2, \omega) = C(x_1, x_2, \omega) [1 - e^{-\beta(\omega - \mu)}]$$

if $[\hat{A}(x_1), B(x_2)] = f(x_1, x_2) \rightarrow$ scalar function,
 sum rule for the spectral fu:

~~$$\hat{A}(x_1)$$~~

$$\int d\omega A(x_1, x_2, \omega) = f(x_1, x_2)$$

The spectral function contains all the information about

the dynamic correlation functions; (Drop x_1, x_2 but still there in the following)

\rightarrow Move to complex ω plane

$$C^R(\omega) = i \lim_{\eta \rightarrow 0^+} \int d\omega' \frac{A(\omega')}{\omega - \omega' + i\eta} \rightarrow \text{poles below real axis}$$

$$C^A(\omega) = i \lim_{\eta \rightarrow 0^+} \int d\omega' \frac{A(\omega')}{\omega - \omega' - i\eta} \rightarrow \text{poles above real axis}$$

See Fig 5.5

We can analytically continue these expressions into the full complex plane: $\omega \rightarrow z$

$$\phi(z) = i \int_{-\infty}^{\infty} d\omega' \frac{A(\omega')}{z - \omega'} \rightarrow \text{Lehman Representation}$$

ϕ is analytic everywhere except along the real axis where there are singularities & branch cuts.

$$C^R(\omega) = \lim_{\eta \rightarrow 0^+} \phi(\omega + i\eta)$$

$$C^A(\omega) = \lim_{\eta \rightarrow 0^+} \phi(\omega - i\eta)$$

Finally can be shown:

$$2\pi A(\omega) = \lim_{\eta \rightarrow 0^+} [\phi(\omega + i\eta) - \phi(\omega - i\eta)] = C^R(\omega) - C^A(\omega)$$

↙
↙

spectral func ↙ $= 2 \operatorname{Im} [i C^R(\omega)]$

if $A(\omega)$ is real

Note (we will see that in terms of Green's Func we have

$$A(\omega) = \frac{1}{\pi} |\operatorname{Im} G(\omega)|$$

Correlations at $T=0$ and N fixed

$$A(\omega) = \sum_{\lambda} \delta(\omega - E_{\lambda}) = \begin{cases} A_{0\lambda} B_{\lambda 0} & \text{for } \omega > \mu \\ -B_{0\lambda} A_{\lambda 0} & \text{for } \omega < \mu \end{cases}$$

$$E_{\lambda} = \pm (E_{\lambda} - E_0) \begin{matrix} \omega > \mu \\ \omega < \mu \end{matrix}$$

GS

$$A(\omega) = \frac{1}{\pi} \begin{cases} + \operatorname{Im} [i C^T(\omega)] & \omega > \mu \\ - \operatorname{Im} [i C^T(\omega)] & \omega < \mu \end{cases}$$

Response Functions

→ Describes the response of a system to an external perturbation.

What is the change of $\langle \hat{A}_H(t) \rangle$ (expectation value of operator A at x_1 time t_1 when a perturbation at time $t_2 < t_1$ happened in x_2).

Perturbation: $\phi(z)$ a ^{time dependent} field that couples to operator $\hat{B}(z)$ acting on the system:

$$H \rightarrow \hat{H} + \int dx_2 \phi(x_2, t) \hat{B}(x_2)$$

$$\phi(x, t) = 0 \text{ for } t < t_0$$

$$\langle \hat{A}_H(t_1) \rangle^+ \leftarrow \text{includes effect of perturbation}$$

$$\langle \hat{A}_H(t_1) \rangle^+ = \langle U(t_1, t_0) \hat{A}(x_1) U(t_1, t_0) \rangle$$

$$U(t_1, t_0) = e^{-i \int_{t_0}^{t_1} dt_2 [H + \int dx_2 \phi(z) \hat{B}(x_2)]}$$

↓
Time evolution operator

This U can be expanded in powers of ϕ (not trivial cause H and $\int dx_2 \phi \hat{B}(x_2)$ do not necessarily commute!)

$$e^{-i \int_{t_0}^{t_1}} = 1 + i \int_{t_0}^{t_1}$$

The coefficients in the expansion of the full expectation value are called response functions.

Most experiments measure the 1st order $\langle \hat{A}_H(1) \rangle$ or so called linear response.

Second harmonic generation measures the second order but we focus on linear response.

Linear Response

$$\int \langle \hat{A}_H(1) \rangle = \langle A_H(1) \rangle^+ - \langle A_H(1) \rangle^- = \int_{-\infty}^{\infty} dt_2 \int d\mathbf{x}_2 \chi_{AB}(1,2) \phi_2$$

$$\text{with } \chi_{AB}(1,2) = -i \Theta(t_1 - t_2) \langle [A_H(1), B_H(2)]_- \rangle$$

↓
linear response function.

i.e. it is a correlation function of a commutator of Heisenberg operators → corresponds to our definition of retarded correlation fn.

$$-i C_{AB}^R(1,2) \rightarrow \chi_{AB}(1,2)$$

Using all we derived before we can see that $A(\omega) = \frac{1}{\pi} \text{Im } K(\omega)$

χ is non zero for $t_1 > t_2$ and depends only on $t_1 - t_2$
 because \hat{H} is time independent. (causality)

$\chi(z)$ (analytic continuation) has poles at $z = E_1 - E_2 - i\eta$
 ~~\hat{H}~~ in the lower half of complex plane (characteristic of
 a causal response function).

~~Kramers~~ Kramers-Kronig Relations

$$\text{Re } \chi(\omega) = -\frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\text{Im } \chi(\omega')}{\omega - \omega'}$$

$$\text{Im } \chi(\omega) = \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\text{Re } \chi(\omega')}{\omega - \omega'}$$

(derived by closing the contour in the upper plane where
 $\chi(z)$ is analytic, assuming that $\chi(z)$ vanishes fast
 enough as $|z| \rightarrow \infty$, so as the integral on the half
 circle vanishes.

Fluctuation-dissipation Th

• Connects fluctuations in statistical equilibrium to response of a system to a time-varying perturbation.

How? or Why? \rightarrow an external (time dependent) perturbation induces excitations and power is dissipated.

The spectral fu gives the sum of all possible excitations.

In thermal eq. and for $B = A^\dagger$ (autoadjoint operator)

$$\text{Im } \chi(\omega) = \frac{1}{2} (1 - e^{-\beta \hbar \omega}) \sum_{\alpha, \lambda} W_{\alpha, \lambda} |A_{\lambda, \alpha}|^2 \delta(\omega + E_{\lambda} - E_{\alpha})$$

$$= \frac{1 - e^{-\beta \hbar \omega}}{2} \int dt \langle \hat{A}^\dagger(0) \hat{A}(t) \rangle e^{i\omega t}$$

\Rightarrow This formula relates dissipation to fluctuations in time

ex: longitudinal conductivity in x direction is related to the current-current correlation fu χ_{jj} $\text{Re } \sigma_{xx}(q_x, \omega) = \frac{\text{Im } \chi_{jj}(q_x, \omega)}{\omega}$

Useful response functions that connect to exp:

1. Density-Density response

\rightarrow Response to an external potential that couples to charge or spin

$$\text{here } \phi(2) \hat{B}(2) \rightarrow V_{\text{ext}}(2) \hat{n}(2)$$

$$A(1) \rightarrow \hat{n}(1)$$

$$\rightarrow \delta n(1) = n([V_{\text{ext}}], \vec{r}_1, \sigma_1, t_1) - n([V=0], \vec{r}_1, \sigma_1)$$

$$\delta n(1) = \int_{-\infty}^{\infty} dt_2 \int dx_2 \chi(1,2) V_{\text{ext}}(x_2, t_2) = \int d(2) \chi(1,2) V_{\text{ext}}(2)$$

$$\text{with } \chi(1,2) = -i \theta(t_1 - t_2) \langle [\hat{n}(1), \hat{n}(2)] \rangle$$

$$\delta V_{\text{total}}(1) = V_{\text{ext}}(1) + V_{\text{ind}}(1)$$

$$\delta V_{\text{tot}}(1) = V_{\text{ext}}(1) + \int d(3) V(1,3) \delta n(3) = V_{\text{ext}}(1) + \int d(3) \int d(2) \chi(1,3) \chi(3,2) V_{\text{ext}}(2)$$

\swarrow
 interaction due to
 the induced density

ext: response of the Total charge density $n(r,t) = \sum_{\sigma} n_{\sigma}(r,t)$
 to a spin independent external perturbation.

$$\delta V_{\text{tot}}(r_1, t_1) = \int dr_2 \int dt_2 \frac{V_{\text{ext}}(r_2, t_2)}{\epsilon(r_1, r_2, t_1 - t_2)}$$

$$\text{with } \epsilon^{-1}(r_1, r_2, t_1 - t_2) = \delta(r_1 - r_2) \delta(t_1 - t_2) + \int dr_3 V_c(|r_1 - r_3|) \chi(r_3, r_2, t_1 - t_2)$$

\swarrow
 $\sum_{\sigma_1, \sigma_2} \chi(1,2)$

(9)

$$\text{in } \omega: \int V_{\text{tot}}(r_1, \omega) = \int dr_2 \epsilon^{-1}(r_1, r_2, \omega) V_{\text{ext}}(r_2, \omega)$$

$$= \int \delta(r_1 - r_2) + \int dr_3 V_c(|r_1 - r_3|) \chi(r_3, r_2, \omega)$$

• For a periodic system:

$$\epsilon_{GG'}^{-1}(q, \omega) = \delta_{GG'} + V_c(q+G) \chi_{GG'}(q, \omega) \quad (*)$$

$$G \rightarrow RLV; \quad \vec{q} \rightarrow \text{in } 1\text{st BZ} \quad V_c = \frac{4\pi}{|q+G|^2}$$

$$\text{fsum rule} \Rightarrow \int_0^{\omega} d\omega \omega \text{Im} \epsilon_{GG'}^{-1}(q, \omega) = -2\pi^2 n$$

$$n = \frac{N}{V}$$

Screened Coulomb interaction:

$$W_{GG'}(q, \omega) = \frac{V_c(q+G')}{\epsilon_{GG'}(q, \omega)} = \cancel{V_c(q+G')} + V_c(q+G) + V_c(q+G) \chi_{GG'}(q, \omega) V_c(q+G')$$

$$\equiv V_c(q+G) + \underbrace{W_{GG'}^P(q, \omega)}_{\text{Polarization}}$$

W^P has same analytical

properties as χ

Polarization

Connection To experiments:

1. Loss function: imaginary part of χ is connected to dissipation: $-\text{Im} \epsilon_{GG}^{-1}(q, \omega) = -\frac{V}{c}(q+G) \text{Im} \chi_{GG}(q, \omega)$

Loss fn. \rightarrow measured in q -resolved

e^- Energy Loss (EELS) with momentum

Transfer $Q = q + G$

(off diagonal elements $\epsilon_{GG'}^{-1}(q, \omega)$ are not accessed, but contribute to experimental results in spatially resolved EELS.

2. Dynamic structure factor:

Inelastic X-ray or Neutron scattering \rightarrow gives spin resolved.

$$S(q, \omega) = \sum_{\sigma\sigma'} S_{\sigma\sigma'}^{qq}(\omega)$$

(diagonal part of the dynamic structure factor)

$$Q = q + G$$

$$S(Q, \omega) = \frac{1}{\pi(1 - e^{-\beta\hbar\omega})} \text{Im} \chi_{GG}(q, \omega)$$

for $G \neq G' \rightarrow$ off diagonal terms \Rightarrow coherent IXS

3. Optical absorption spectrum

→ $\epsilon_m(q, \omega)$ = Macroscopic dielectric fn

→ inverse of the long-wavelength part of ϵ^{-1}

$\epsilon_m(q, \omega) = [\epsilon_{\infty}^{-1}(q, \omega)]^{-1}$ for $q \rightarrow 0$. The imaginary part is measures in the absorption spectra of light.

$$Q = q + G \quad ; \quad \epsilon_m(Q, \omega) = \frac{1}{\epsilon_{\infty}^{-1}(q, \omega) + \frac{V_c(Q)}{c} \chi(q, \omega)} = \frac{1}{\epsilon_{\infty}^{-1}(q, \omega) + \frac{V_c(Q)}{c} \chi(q, \omega)}$$

$G \in G'$ $G = G'$

→ Loss fn in terms of ϵ_m

$$- \text{Im} \epsilon^{-1}(Q, \omega) = \frac{\text{Im} \epsilon_m(Q, \omega)}{[\text{Re} \epsilon_m(Q, \omega)]^2 + [\text{Im} \epsilon_m(Q, \omega)]^2}$$

↓

Here ϵ_m is screened and zeros in $\text{Re} \epsilon_m$ give the plasmon modes.

See fig 5.6

For $Q \rightarrow 0$ screening is large → see plasmon!

For larger Q effect is smaller → screening decreases with larger Q

smaller
distances
↑

We can rewrite:

$$\epsilon_M(Q, \omega) = 1 - \frac{V_c(Q) \chi_{G=G'}(q, \omega)}{1 + V_c(Q) \chi_{G=G'}(q, \omega)} = 1 - V_c(Q) \bar{\chi}_{G=G'}(q, \omega)$$

$$\text{with } \bar{\chi}_{G=G'}(q, \omega) = \chi_{G=G'}(q, \omega) - \chi_{G=G'}(q, \omega) V_c(Q) \chi_{G=G'}(q, \omega)$$

↙
This is large for $Q \rightarrow 0$ because

$V_c(Q \rightarrow 0)$ is large (long range Coulomb)